

Complete this form by typing directly into the relevant sections, then print off before signing, alternatively complete by using **black pen** – print in clear **CAPITAL LETTERS**. Use crosses [**X**] in boxes where applicable.

Use this form to transfer your account to another Wrap/SuperWrap product under a new adviser number.

1. NEW ADVISER DETAILS

Adviser name

Adviser number
F

2. INVESTOR DETAILS

Investor number
M

Investor/Account name

3. PRODUCT DETAILS

Please provide full product names.

Current Product name (eg BT Portfolio Wrap/SuperWrap)

New Product name (eg BT Wrap/SuperWrap)

4. ADVICE RELATED FEES

By completing this section and signing this form, you authorise and direct the Administrator and/or the Trustee to deduct and pay all of the fees listed in this section from your account to your adviser’s dealer group (or where the following paragraph applies, your adviser) on your behalf for financial advice and related services that your adviser and their dealer group provides to you in relation to your account. You can negotiate the amount of these fees with your adviser; however, the Trustee and/or Administrator has the right to reject the amount. For SuperWrap, typically the Adviser contribution fee should be up to 5% and the aggregate of the Ongoing adviser fee should be up to 2% pa of the balance of your account.

In some cases your adviser and their dealer group may have an arrangement in place with us whereby 100% of certain advice related fees are intended to be paid to your adviser rather than your adviser’s dealer group. If prior to you signing this form, your adviser requests you to agree to pay Adviser contribution fees and/or Ongoing adviser fees (Specified Fees) to them (rather than their dealer group), then by signing this form you agree that your authorisation and direction to pay fees in the previous paragraph is, to the extent it relates to Specified Fees, an authorisation and direction to pay those fees to your adviser.

a) ADVISER CONTRIBUTION FEE
 EXISTING ADVISER CONTRIBUTION FEES TO APPLY

Complete the below:
Additional adviser contribution fee

		.				%

The Additional adviser contribution fee excludes contributions under a regular deposit/contribution plan and is not applicable to Pension Plans. If the boxes are left blank, the Additional adviser contribution fee will be assumed to be zero.



b) ONGOING ADVISER FEE

EXISTING ONGOING ADVISER FEE TO APPLY

OR Complete the below:

If the boxes are left blank, the Ongoing adviser fee will be assumed to be zero. Please complete only either the Flat Fee or Sliding Scale option, not both.

Flat fee per annum

Dollar amount

\$

and/or

Percentage amount

Listed securities	•	% pa
Managed funds	•	% pa
Cash	•	% pa

OR

Sliding Scale per annum

From \$	To \$	Percentage
\$ Nil		• % pa
\$		• % pa
\$		• % pa
\$		• % pa
\$		• % pa
Is the sliding scale fee to include the balance in your Cash Account? If you don't make a selection, the default is 'No'.		Yes <input type="checkbox"/> No <input type="checkbox"/>

All fees are inclusive of GST and represent the amounts which the client agrees to pay you. The amounts deducted from the client's Cash Account will be adjusted to take account of the Reduced Input Tax Credits (where applicable) that are expected to be received in respect of these fees.

5. SIGNATURE AND DECLARATIONS

Your application cannot proceed if this section is not signed.

Before you sign this Transferring Investor Registration Form your adviser is obliged to give you the relevant disclosure documents for the New Product outlined above. These will help you to understand the product and decide if it is appropriate to your needs.

By signing this Transferring Investor Registration Form, I/we acknowledge that:

- I/we will be transferring my account from the Current Product to the New Product outlined above and applying for interests in the New Product
- I/we have read and understood the Wrap Investor Guide and Additional Information & Terms Booklet or the SuperWrap Product Disclosure Statement (PDS) and Additional Information booklet for the New Product (New Disclosure) outlined above and I/we agree to, consent to and acknowledge the terms, declarations, conditions and acknowledgements contained in the New Disclosure and in this form
- I/we declare that all details given on this form are true and correct and that all other details previously given on my/our Wrap Investor Registration Form or SuperWrap Application Form for the Current Product remain true and correct and should be used in respect of my/our investment in the New Product

- If signing under a power of attorney, I/we verify that at the time of signing, I/we have not received notice of revocation of that power. Please provide a certified copy of the power of attorney including the attorney's signature.
- I/we consent to the use of my/our personal information as outlined in the New Disclosure.
- none of Westpac or any of its related entities stands behind or otherwise guarantees the capital value or investment performance of any investments in Wrap or SuperWrap.
- investments in Wrap or SuperWrap are not deposits or other liabilities of Westpac or any other company in the Westpac group of companies and that investments in Wrap or SuperWrap are subject to investment risks, including possible delays in repayment and loss of income and principal invested.
- I/we have received a copy of the Administrator's Financial Services Guide.
- In respect of the Bank account and Direct debit request details previously given by me/us in respect of the Current Product:
 - I/we acknowledge that this direct debit arrangement is governed by the terms of the Direct Debit Request Service Agreement for the Current Product and that all references in that agreement to the Current Product will, from the, and date of transfer of my/our account, apply to the New Product
 - I/we authorise and request BT Portfolio Services Ltd (User ID Number 086970) and BT Securities Limited (User ID Number 037898) to arrange for any directed amounts to be debited by direct debit from the bank account at the financial institution set out below through the Bulk Electronic Clearing System ('BECS').

Signature of Investor A, Director or Company Officer

Date (dd/mm/yy)

Signatory's full name (please print)

Company signatories must indicate their company title.

Director Sole Director Other (specify below)

Signature of Investor B, Director or Company Officer

Date (dd/mm/yy)

Signatory's full name (please print)

Company signatories must indicate their company title.

Director Company Director Other (specify below)

You can submit this form by:

- ✉ mail Wrap GPO Box 2337, Adelaide SA 5001
- ☎ fax 08 84224415
- ✉ email wrapforms@btfinancialgroup.com

Wrap is administered by BT Portfolio Services Ltd
ABN 73 095 055 208.

BT Funds Management Limited ABN 63 002 916 458 is the trustee and issuer of SuperWrap, which is part of Retirement Wrap
ABN 39 827 542 99

